



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FIKES *et al.*

Appl. No. 09/458,299

Filed: December 10, 1999

For: **Inducing Cellular Immune
Responses to HER2/neu Using
Peptide and Nucleic Acid
Compositions**

Confirmation No. 8698

Art Unit: 1644

Examiner: Schwadron, R.B.

Atty. Docket: 2060.0140000/EKS/HCC

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**Amendment and Submission of Substitute Sequence Listing
Under 37 C.F.R. § 1.825(a)**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to Comply With Requirements dated April 12, 2002, Applicants submit the following Amendment and Remarks. This Amendment is provided in the following format:

- (A) A clean version of each replacement page along with clear instructions for entry;
- (B) Starting on a separate page, appropriate remarks and arguments. 37 C.F.R. § 1.111 and MPEP 714; and
- (C) Starting on a separate page, a marked-up version entitled: "Version with markings to show changes made."

beyond those that may otherwise be provided for in documents accompanying this paper.

However, if additional copies are required, please indicate the number of copies required in the